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|               |   |               |                    |
|---------------|---|---------------|--------------------|
| <b>To:</b>    | Examiner Cuong Q. Nguyen  | <b>From:</b>  | Leslie S. Szivos   |
| <b>Fax:</b>   | 703-872-9318  | <b>Pages:</b> | 11 Including cover |
| <b>Phone:</b> |   | <b>Date:</b>  | 3/4/2002           |
| <b>Re:</b>    | <b>U.S. Patent Appln. No. 09/516,615</b><br><b>IBM Ref: BUR919990190US1</b><br><b>SSMP Docket: 13020</b><br><b>For: METHOD OF FABRICATING A</b><br><b>POLYSILICON CAPACITOR UTILIZING FET AND</b><br><b>BIPOLAR BASE POLYSILICON LAYERS</b> |               |                    |
|               | <i>2811</i>   |               |                    |

**For Review**

**• Comments:**

1. Amendment Transmittal Letter (In duplicate)
2. Amendment and Response
3. Authorization to Charge Deposit Account
4. Certificate of Transmission by Facsimile dated March 4, 2002

Applicants: Douglas D. Coolbaugh, et al.  
 Serial No. 09/516,615  
 Filed: March 1, 2000  
 For: METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND  
 BIPOLAR BASE POLYSILICON LAYERS  
 Docket: BUR919990190US1 (13020)  
 Dated: March 4, 2002

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**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**Applicant(s): **D** nglas D. Coolbaugh, et al.

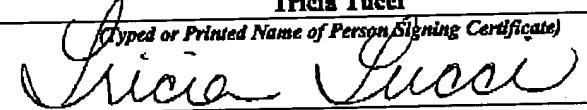
Docket No.

**BUR919990190US1 (13020)**Serial No.  
**09/516,615**Filing Date  
**March 1, 2000**Examiner  
**Cuong Q. Nguyen**Group Art Unit  
**2811**Invention: **METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR  
BASE POLYSILICON LAYERS**~~FAX COPY RECEIVED~~**MAR 4 - 2002**

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I hereby certify that this Amendment and Response  
(Identify type of correspondence)is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. **(703) 872-9318**)on March 4, 2002  
(*Date*)Tricia Tucci

(Type or Printed Name of Person Signing Certificate)

  
(Signature)

Note: Each paper must have its own certificate of mailing.

|  |                                     |  |                |  |  |
|--|-------------------------------------|--|----------------|--|--|
| <b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b><br>Applicant(s): Douglas D. Coolbaugh, et al.   |                                     |  |                | Docket No.<br><b>BUR919990190US1 (13020)</b> |  |
| Serial No.<br><b>09/516,615</b>  | Filing Date<br><b>March 1, 2000</b> | Examiner<br><b>Cuong Q. Nguyen</b>     |                | Group Art Unit<br><b>2811</b>                |  |
| <b>Inv ntion: METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR<br/>BASE POLYSILICON LAYERS</b>  |                                     |  |                |  |  |
| <b>TO THE ASSISTANT COMMISSIONER FOR PATENTS:<br/>FAX COPY RECEIVED</b>  |                                     |  |                |  |  |
| Transmitted herewith is an amendment in the above-identified application.<br>The fee has been calculated and is transmitted as shown below.  |                                     |  |                |  |  |
| <small>TECHNOLOGY CENTER 2800</small>  |                                     |  |                |  |  |
| <b>CLAIMS AS AMENDED</b>   |                                     |  |                |  |  |
| <b>CLAIMS REMAINING<br/>AFTER AMENDMENT</b>  | <b>HIGHEST #<br/>PREV. PAID FOR</b> | <b>NUMBER EXTRA<br/>CLAIMS PRESENT</b> | <b>RATE</b>    | <b>ADDITIONAL<br/>FEE</b>                    |  |
| <b>TOTAL CLAIMS</b><br><b>5 -</b>  | <b>20 =</b>                         | <b>0 x</b>                             | <b>\$18.00</b> | <b>\$0.00</b>                                |  |
| <b>INDEP. CLAIMS</b><br><b>1 -</b>   | <b>3 =</b>                          | <b>0 x</b>                             | <b>\$84.00</b> | <b>\$0.00</b>                                |  |
| <b>Multiple Dependent Claims (check if applicable) <input type="checkbox"/></b>  |                                     |  |                |  |  |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>   |                                     |  |                |  |  |
| <b>\$0.00</b>  |                                     |  |                |  |  |
| <p> <input checked="" type="checkbox"/> No additional fee is required for amendment.<br/> <input type="checkbox"/> Please charge Deposit Account No. <b>09-0456/IBM</b> in the amount of<br/>           A duplicate copy of this sheet is enclosed.<br/> <input type="checkbox"/> A check in the amount of <b>\$18.00</b> to cover the filing fee is enclosed.<br/> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>09-0456/IBM</b><br/>           A duplicate copy of this sheet is enclosed.<br/> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.<br/> <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.         </p> |                                     |  |                |  |  |
| <br>Signature<br><b>Leslie S. Szivos</b><br>Registration No. 39,394   |                                     |  |                |  |  |
| Dated: <b>March 4, 2002</b>  |                                     |  |                |  |  |
| <div style="border: 1px solid black; padding: 5px; width: fit-content; margin: auto;"> <p style="margin: 0;">I certify that this document and fee is being deposited on <b>March 4, 2002</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p style="margin: 0;"><i>Signature of Person Mailing Correspondence</i></p> <p style="margin: 0;"><i>Typed or Printed Name of Person Mailing Correspondence</i></p> </div>   |                                     |  |                |  |  |
| <b>LSS:tt</b><br><b>CC:</b>  |                                     |  |                |  |  |